

Multi-objective Optimization to Increase Nusselt Number and Reduce Friction Coefficient of Water/Carbon Nanotubes via NSGA II using Response Surface Methodology

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ABSTRACT

Heat transfer science is one of the most important and most applied engineering sciences, with the importance of energy management and energy conservation being doubled. Because of their properties, nanofluids have been widely used in various industries, making them particularly important to study. In this paper, the Nusselt number and coefficient of friction with volume fraction ranging from 0 to 0.1 at approximately Reynolds numbers of 200 to 5000 are studied experimentally. Higher thermal conductivity, better stability, lower pressure drop was observed using nanoparticles of solid particles. NSGA II algorithm was used to maximize Nusselt number and minimum friction coefficient by changing temperature and volume fraction of nanoparticles. To obtain Nusselt number and friction coefficient based on the temperature and volume fraction of the nanoparticles, the experimental data response surface methodology was used and with increasing Reynolds number, the Nusselt number increased and the friction coefficient decreased. In order to evaluate the objective functions in the optimization, the response surface methodology is attached to the optimization algorithm. At the end, the Pareto Front and its corresponding optimal points are presented.

Keywords: Nusselt number; Multi-objective optimization; Nanofluids; Friction coefficient; Pareto front.

1 Introduction

Due to the rapid development of nanotechnology, nanometer-sized solid particles (between 1 and 100 nm) were replaced with micrometer-sized particles in the fluid, which was called nanofluid. Higher thermal conductivity, better stability, and lower pressure drop were observed using nanoparticles of solid particles. Numerous studies have been performed on heat transfer and pressure drop of nanofluid [1]. Nanofluids have been rapidly attracted to various industries because of their unique thermal conductivity. Art optimization is about finding the best answer in the current situation. Optimization is used to design and maintain engineering, economic and even social systems in order to minimize cost and or maximize profits [2]. Optimization methods have been used to increase productivity and reduce costs. The structure and principles of multi-objective optimization are the same as single-objective optimization, but in some ways the number of variables and objective functions in these methods are increased and are used to find a set of optimal answers rather than an optimal one. It is valuable to reduce energy consumption and increase productivity [3]. TiO₂/SiO₂ nanofluids as novel inhibitors for the stability of asphaltene particles in crude oil: Mechanistic understanding, screening, modeling, and optimization. [4]. Thermal Conductivity of Suspensions Containing Nanosized SiC Particles [5]. Design of microchannel heat sink with wavy channel and its time-efficient optimization with combined RSM and FVM methods [6]. Numerical and experimental studies on laminar hydrodynamic and thermal characteristics in fractal-like microchannel networks. Part A: Comparisons of two numerical analysis methods on friction factor and Nusselt number [7]. Impacts of nanofluid flow on skin friction factor and Nusselt number in curved tubes with constant mass flow [8]. Experiment and Artificial Neural Network Prediction of Thermal Conductivity and Viscosity for Alumina-

Water Nanofluids [9]. Numerical simulation and sensitivity analysis of effective parameters on heat transfer and homogeneity of Al₂O₃ nanofluid in a channel using DPM and RSM [10]. Evaluation of viscosity and thermal conductivity of graphene nanoplatelets nanofluids through a combined experimental–statistical approach using response surface methodology method [11]. The overall aims of nanofluid research and development are to exploit the unique properties of nanoparticles. In this way, the transfer properties and heat transfer performance of nanofluids can be classified and the nanofluid technology expanded to enhance the thermal properties of other conventional fluids [12]. The main reasons for the increase in heat transfer of nanofluids generally depend on the following factors:

- Particle surface area increase (Surface to volume ratio in particles)
- The interaction between nanoparticles and fluid molecules
- Increased perturbations due to mixing of particles and fluid

Despite numerous efforts, the mechanisms involved in enhancing heat transfer in nanofluids remain unknown [13]. Therefore, efforts are still being made to develop nanofluids in which different nanoparticles with different dimensions are used. Meanwhile, water nanoparticles/aluminum oxide and water/copper oxide are widely used by researchers [14]. The past decade has witnessed numerous research activities in the field of nanofluids and their thermal performance. Most of these studies are devoted to the determination of thermal indices such as effective thermal conductivity under static conditions, heat transfer coefficient and heat transfer coefficient with phase change [15]. more research in the field of nanofluid by increasing particle mobility leads to a new science in relation to thermal conductivity. many of the experimental studies that have been done to determine the effective thermal conductivity coefficient in nanofluid show that a model that can accurately predict thermal conductivity of suspension solutions is not presented [16]. nanofluids were used in the present paper to maximize the Nusselt number and the minimum friction coefficient with variation at temperatures (200 to 5000) and volume fraction (0 to 1.0) nanofluid. in order to obtain the friction coefficient and the Nusselt number in terms of temperature and volume fraction, the response surface method is used. the model is modeled using the response surface method and the results are explained as the objective function of the algorithm.

2 Nanofluid Properties

The thermophysical properties of fluid are modified by adding nanoparticles to the base fluid. They include the density, viscosity, thermal conductivity coefficient, and special heat. Various researchers have expressed a different view of the effect of adding nanoparticles on the values of these properties [17]. in general, the addition of nanoparticles causes an increase in these properties besides the special heat, which decreases with the addition of nanoparticles. the percentage of this increase depends on different factors, including percentage of volume of nanoparticles, nanoparticles properties, basic fluid properties and temperature. due to these researches, nanofluids have found many applications that have caused the investigation of these properties to be of particular importance. due to the concentration of nanoparticles in the base fluid, the properties of nanofluid are adjustable by changing the nanoparticles concentration.

3 Nusselt

The Nusselt number is a dimensionless number expressed in heat transfer expressing the heat transfer transmitted through convection (or movement) to the heat transmitted through conduction (or guidance) on the system boundary. This number denoted by symbol (Nu) [18].

4 Coefficient of Friction

Coefficient of friction is the ratio of the vertical force sector to the force of friction. According to Coulomb's theory, the coefficient of friction of each material is constant. however, the experiments show that the coefficient of friction depends on factors such as contact pressure, slip speed, temperature, number of load cycles, etc.

5 Genetic Algorithm

This algorithm is based on Darwin's evolutionary theory. Darwin's evolutionary theory is based on the evolution of generations, stating that over time a group of particular beings will remain those who are more qualified to live and are better than their counterparts, and that other individuals in that group will be eliminated and their offspring will be destroyed. He went. In this algorithm, a code is assigned to each individual (problem answer) containing the genes (attributes) of the answer. After defining the answers and forming the first generation, subsequent generations are formed according to the integration of the parents (the initial population). The algorithm is also used to prevent the algorithm from stopping the local optimization of the mutation operator, in which each mutant will mutate alone and will be passed on to the next generation [19].

6 NSGA II Method

The optimization method is used to solve the optimization problems where the salient points of this optimization method are:

- effectiveness of this method is retained in non - convex problems.
- with the help of this method, optimum quasi - optimal responses are determined.
- The second version of the NSGA algorithm, called NSGA II, was introduced due to the relatively high sensitivity that the algorithm responds to shared and fitness parameters and other parameters. The main features of this algorithm are:
 - Definition of the compressive distance as an alternative property for ways like sharing for life
 - Using the two binary tournament choice operator

Save and archive the answers which have been obtained in previous steps of the algorithm.

Answers $i-1$ and $i-2$ the answers before and after are the answer of i , then the distance of the congestion of my answer is as follows and Figure 1 shows Crowding distance in NSGA-II.

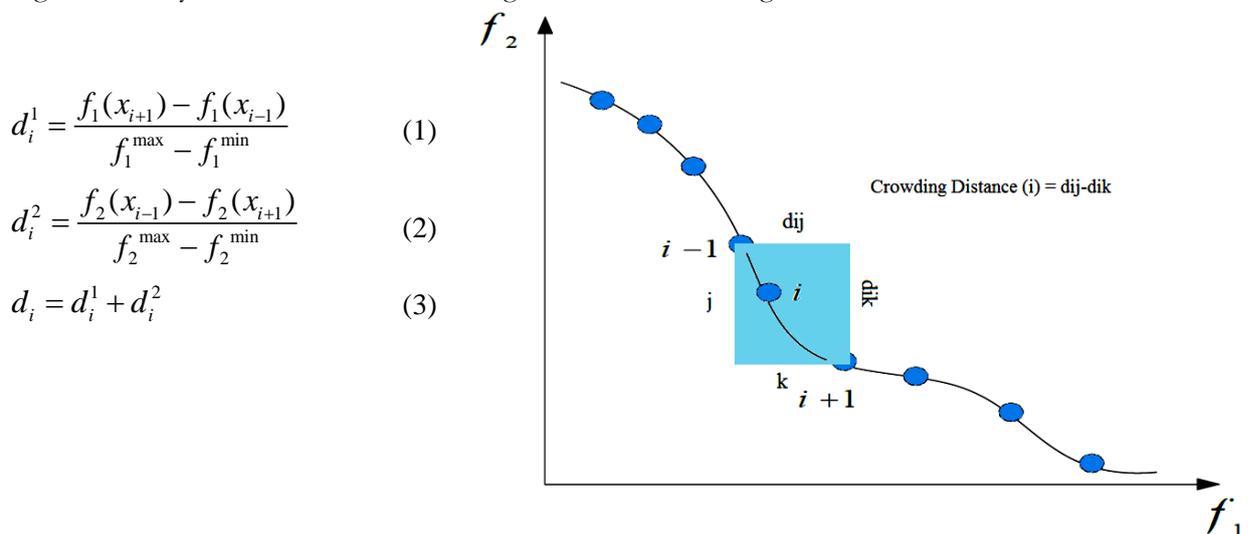


Figure 1: Crowding distance in NSGA-II.

Therefore, in a binary tournament, the answer i is superior to the answer j if either of the following conditions is true:

- Rank i is less than rank j ($r_i < r_j$).
- If answer i is equal to j then answer i is superior to answer j if the answer distance i is greater than the answer distance j ($d_j > d_i$).

After the rank and process of a new population, a new population of alternatives is established and then using the common methods used in genetic algorithms, new alternatives are produced and then the earlier stages are repeated. at the beginning of iteration t , a population of children (Q_t) is produced by conventional

methods of genetic algorithm and then the total population of parents and children ($R_t = p_t \cup Q_t$) is regular and divided into different levels. The number of members of the combined population is $2N$, where N is the number of members of the original population selected by the planner. Among the R_t population, the priority order of N is the top option and will be sent to the iteration $t+1$. This process is repeated until it reaches the final bet. According to the method used for the formation of the new population, it is clear that this method is sent to repeat the correct answers before switching to the next iteration. The termination condition can be defined in different ways, including the determination of the maximum number of iterations, or not to improve the answers or not to increase the quasi - optimal responses [20]. Figure 2 shows the structure of NSGA-II.

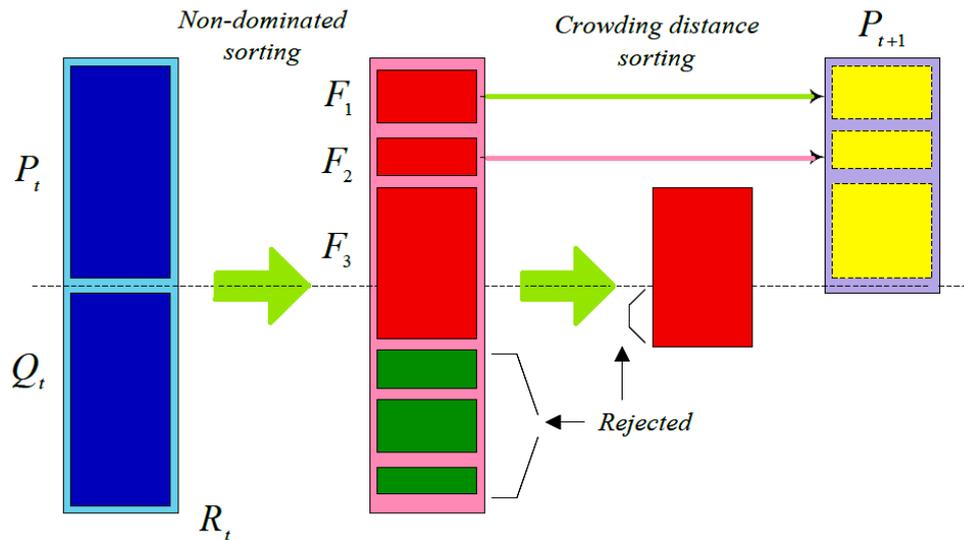


Figure 2: The structure of NSGA-II.

In Figure 2 the flowchart of Algorithm II is presented. after determining the optimal responses, relations between objective functions are determined. In this case, the decision - maker can choose the best answer according to these relationships, their requirements, the importance of each objective function and above level information. In engineering issues, there are many objectives and criteria that are not possible to formulate them as objective functions, and these qualitative criteria can be very helpful in choosing the final response and Figure 3 shows Optimization flowchart [21].

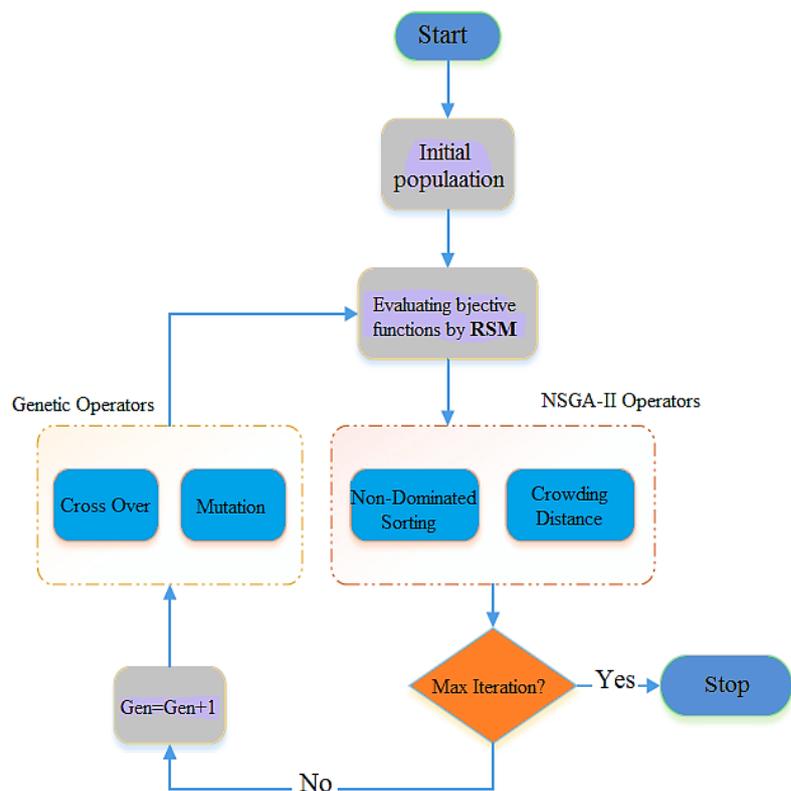


Figure 3: Optimization flowchart.

7 Response Surface Methodology

The response surface methodology is a collection of mathematical and statistical techniques to match the experimental data with polynomial models. The response surface method is presented as one of the experimental modeling methods. The response surface method is one of the approaches in the design of experiments and sciences. In the response surface method, the solution is tried to find a way to estimate the second - order effects and even the local form of the response surface. In this study, specific goals are pursued seriously, which can be used to improve the process by finding optimal inputs, removing the problems and weaknesses of the process and stabilization of it. Here, stabilization is an important concept in quality statistics which indicates minimize the effects of secondary variables or friction (friction) [22]. Table 1 shows Analysis of variance (ANOVA) for Friction Smooth Tube 2 factor. Table 2 shows Analysis of variance (ANOVA) for Nusselt Smooth Tube 2. Figure 4 shows Normal probability plot residuals. (a) Friction (b) Nusselt. Figure 5 shows Comparison of the experimental results and predicted values (a) Friction (b) Nusselt. Figure 6 shows Three-dimensional response surface graphs of Friction. Figure 7 shows Three-dimensional response surface graphs of Nusselt. Figure 8 shows Multi-objective optimization results by NSGA-II. Figure 9 shows Pareto optimal front.

8 Benefits of RSM Method

- Response Surface Methodology (RSM) method can also receive qualitative variables and be used in analysis and optimization of properties.
- It analyzes and analyzes the interaction between parameters.
- Quadratic models can be used to analyze properties and optimization.
- In this method statistical method is determined by interpolation between input variables, optimal values.

Table 1: Analysis of variance (ANOVA) for Friction Smooth Tube 2 factor.

Source	Adj SS	Df	Adj MS	F	P
Model	1.72	14	0.12	502.78	8.01979E-142
φ	3.196E-003	1	3.196E-003	13.11	0.0004
Re	0.42	1	0.42	1706.30	7.32885E-097
φ Re	1.611E-005	1	1.611E-005	0.066	0.7974
φ^2	3.608E-003	1	3.608E-003	14.80	0.0002
Re ²	0.24	1	0.24	964.92	2.18677E-076
φ^2 Re	1.211E-005	1	1.211E-005	0.050	0.8239
φ Re ²	1.761E-005	1	1.761E-005	0.072	0.7884
φ^3	3.492E-003	1	3.492E-003	14.33	0.0002
Re ³	0.15	1	0.15	633.03	2.16454E-062
φ^2 Re ²	8.149E-005	1	8.149E-005	0.33	0.5639
φ^3 Re	6.964E-006	1	6.964E-006	0.029	0.8660
φ Re ³	5.962E-004	1	5.962E-004	2.45	0.1195
φ^4	3.462E-003	1	3.462E-003	14.20	0.0002
Re ⁴	0.11	1	0.11	457.22	1.85369E-052
Residual error	0.046	190	2.438E-004		
Total	1.76	204			

Standard deviation = 0.016.

Predicted residual error of sum of squares (PRESS) = 0.058.

R2 (Adequate) = 85.996% R2 (Predicted) = 0.9670% R2 (Adjusted) = 0.9718%.

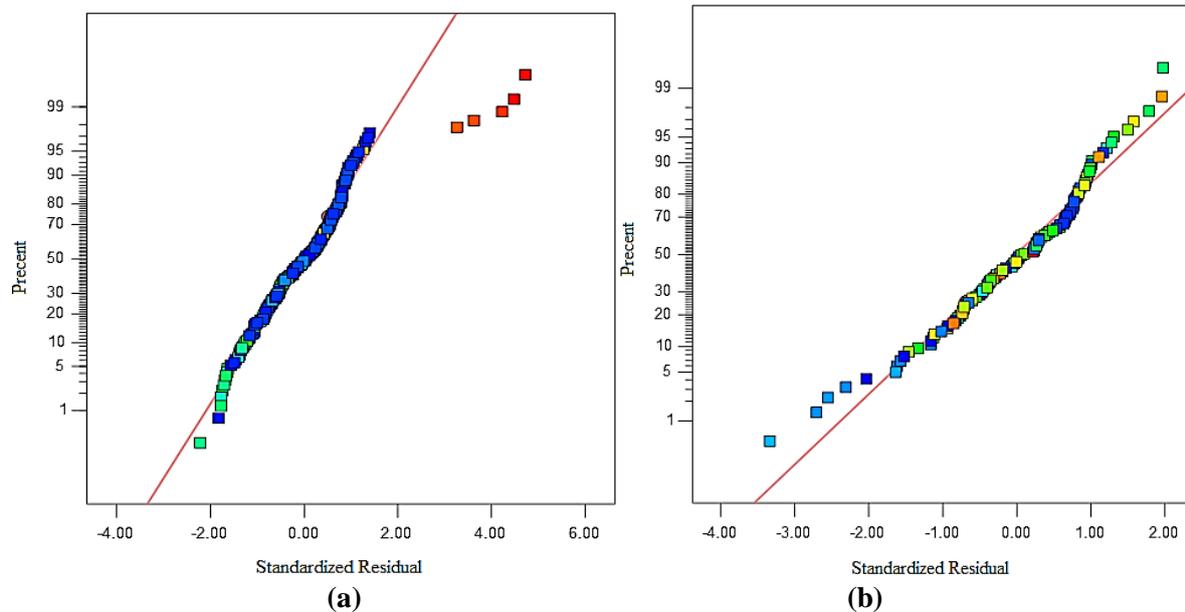
Table 2: Analysis of variance (ANOVA) for Nusselt Smooth Tube 2.

Source	Adj SS	Df	Adj MS	F	P
Model	5924.33	14	423.17	929.82	5.24629E-095
φ	4.77	1	4.77	10.48	0.0017
Re	11.37	1	11.37	24.98	2.63283E-006
φ Re	11.94	1	11.94	26.23	1.58321E-006
φ^2	7.54	1	7.54	16.58	9.6618E-005
Re²	37.80	1	37.80	83.06	1.2973E-014
φ^2 Re	27.26	1	27.26	59.90	1.06142E-011
φ Re ²	4.49	1	4.49	9.87	0.0022
φ^3	8.68	1	8.68	19.08	3.1952E-005
Re³	30.39	1	30.39	66.78	1.30645E-012
φ^2 Re ²	4.58	1	4.58	10.06	0.0020
φ^3 Re	27.61	1	27.61	60.67	8.35927E-012
φ Re ³	0.22	1	0.22	0.49	0.4857
φ^4	8.82	1	8.82	19.38	2.81043E-005
Re⁴	22.53	1	22.53	49.50	3.04651E-010
Residual error	43.23	95	0.46		
Total	5967.56	109			

Standard deviation = 0.67.

Predicted residual error of sum of squares (PRESS) = 58.49.

R2 (Adequate) = 101.790% R2 (Predicted) = 0.9902% R2 (Adjusted) = 0.9917%.

**Figure 4:** Normal probability plot residuals. (a) Friction (b) Nusselt.

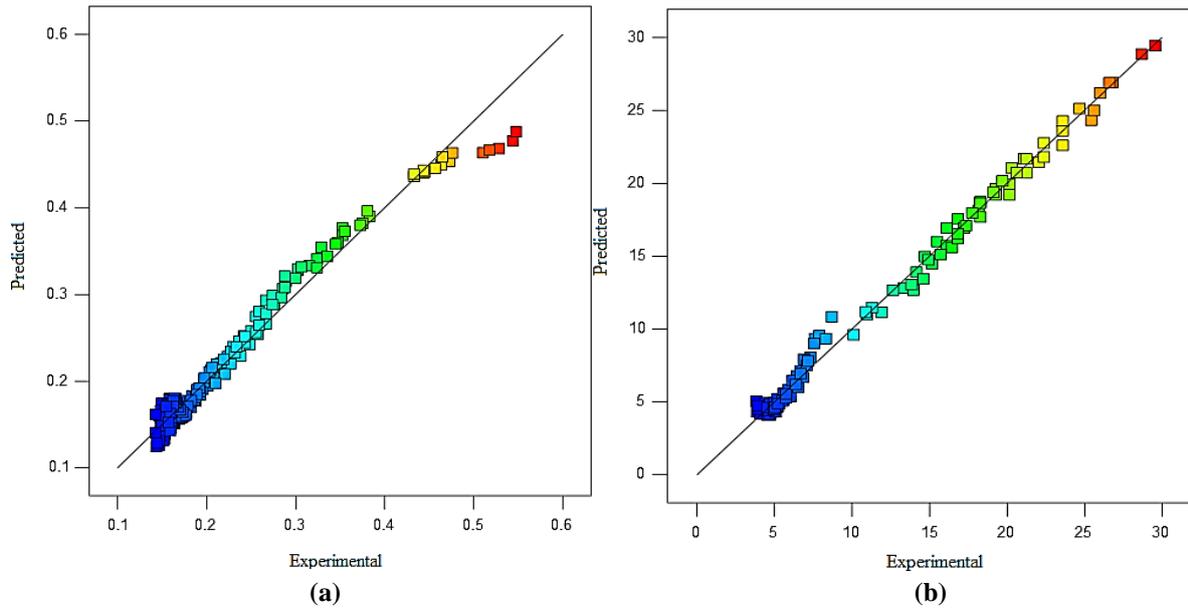


Figure 5: Comparison of the experimental results and predicted values (a) Friction (b) Nusselt.

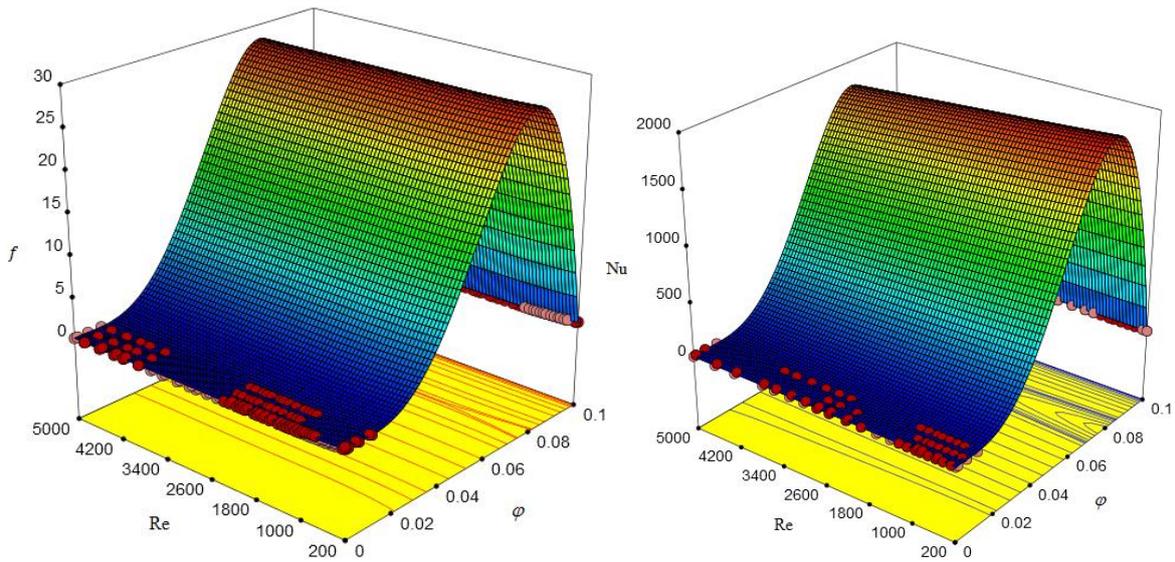


Fig. 6: Three-dimensional response surface graphs of Friction. Fig. 7: Three-dimensional response surface graphs of Nusselt.

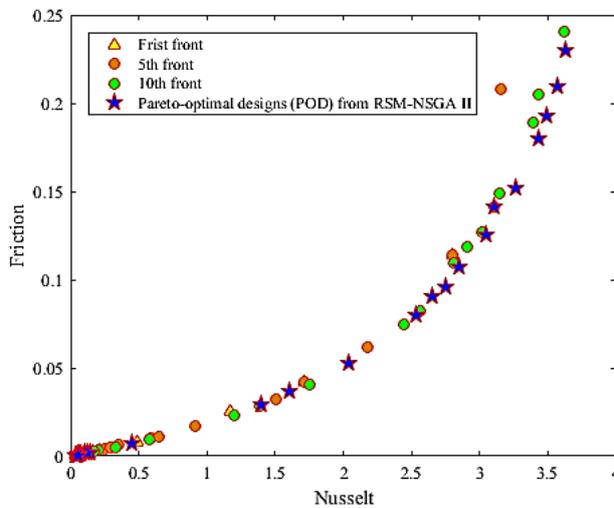


Fig. 8 Multi-objective optimization results by NSGA-II.

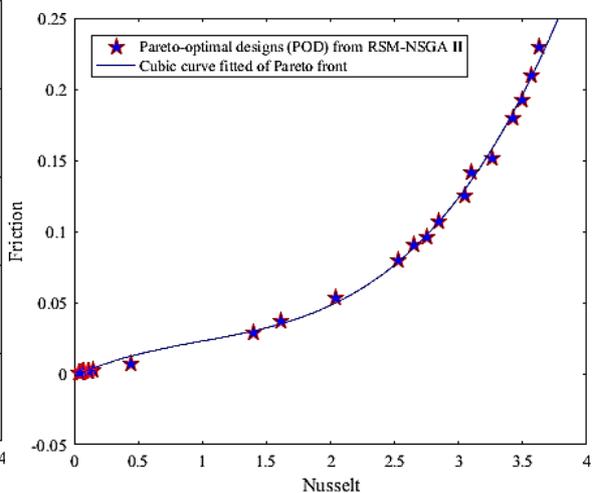


Fig. 9 Pareto optimal front.

Table 3 shows Analysis of variance (ANOVA) for Friction Tube 1 factor. Table 4 shows Analysis of variance (ANOVA) for Nusselt Tube 1. Figure 10 shows Normal probability plot residuals. (a) Friction (b) Nusselt. Figure 11 shows Comparison of the experimental results and predicted values (a) Friction (b) Nusselt. Figure 12 shows Three-dimensional response surface graphs of Friction. Figure 13 shows Three-dimensional response surface graphs of Nusselt. Figure 14 shows Multi-objective optimization results by NSGA-II. Figure 15 shows Pareto optimal front.

Table 3: Analysis of variance (ANOVA) for Friction Tube 1 factor.

Source	Adj SS	Df	Adj MS	F	P
Model	2.95	14	0.21	284.80	1.10219E-114
ϕ	1.152E-004	1	1.152E-004	0.16	0.6938
Re	4.386E-004	1	4.386E-004	0.59	0.4427
ϕ Re	3.388E-004	1	3.388E-004	0.46	0.4998
ϕ^2	1.456E-004	1	1.456E-004	0.20	0.6581
Re²	0.010	1	0.010	13.88	0.0003
ϕ^2 R	2.980E-004	1	2.980E-004	0.40	0.5268
ϕ Re ²	1.493E-004	1	1.493E-004	0.20	0.6541
ϕ^3	1.150E-004	1	1.150E-004	0.16	0.6942
Re³	0.23	1	0.23	308.81	6.67316E-041
ϕ^2 Re ²	5.926E-006	1	5.926E-006	7.996E-003	0.9288
ϕ^3 Re	3.439E-004	1	3.439E-004	0.46	0.4966
ϕ Re ³	4.688E-005	1	4.688E-005	0.063	0.8017
ϕ^4	1.206E-004	1	1.206E-004	0.16	0.6871
Re⁴	0.22	1	0.22	295.50	8.05856E-040
Residual error	0.13	180	7.411E-004		
Total	3.09	194			

Standard deviation = 0.027, Predicted residual error of sum of squares (PRESS) = 0.18.

R2 (Adequate) = 65.310% R2 (Predicted) = 0.9410% R2 (Adjusted) = 0.9534%.

Table 4: Analysis of variance (ANOVA) for Nusselt Tube 1.

Source	Adj SS	Df	Adj MS	F	P
Model	11777.31	14	841.24	939.02	2.01451E-103
ϕ	4.24	1	4.24	4.73	0.0318
Re	8.08	1	8.08	9.02	0.0033
ϕ Re	21.49	1	21.49	23.99	3.52449E-006
ϕ^2	6.70	1	6.70	7.48	0.0073
Re²	13.71	1	13.71	15.31	0.0002
ϕ^2 R	15.14	1	15.14	16.90	7.82726E-005
ϕ Re ²	0.062	1	0.062	0.069	0.7928
ϕ^3	4.23	1	4.23	4.72	0.0320
Re³	83.56	1	83.56	93.27	3.5952E-016
ϕ^2 Re ²	1.50	1	1.50	1.68	0.1983
ϕ^3 Re	22.31	1	22.31	24.90	2.40538E-006
ϕ Re ³	0.61	1	0.61	0.68	0.4098
ϕ^4	4.68	1	4.68	5.23	0.0242
Re⁴	64.79	1	64.79	72.32	1.35666E-013
Residual error	94.07	105	0.90		
Total	11777.31	14	841.24	939.02	2.01451E-103

Standard deviation = 0.95, Predicted residual error of sum of squares (PRESS) = 128.50.

R2 (Adequate) = 102.565% R2 (Predicted) = 0.9892% R2 (Adjusted) = 0.9910%.

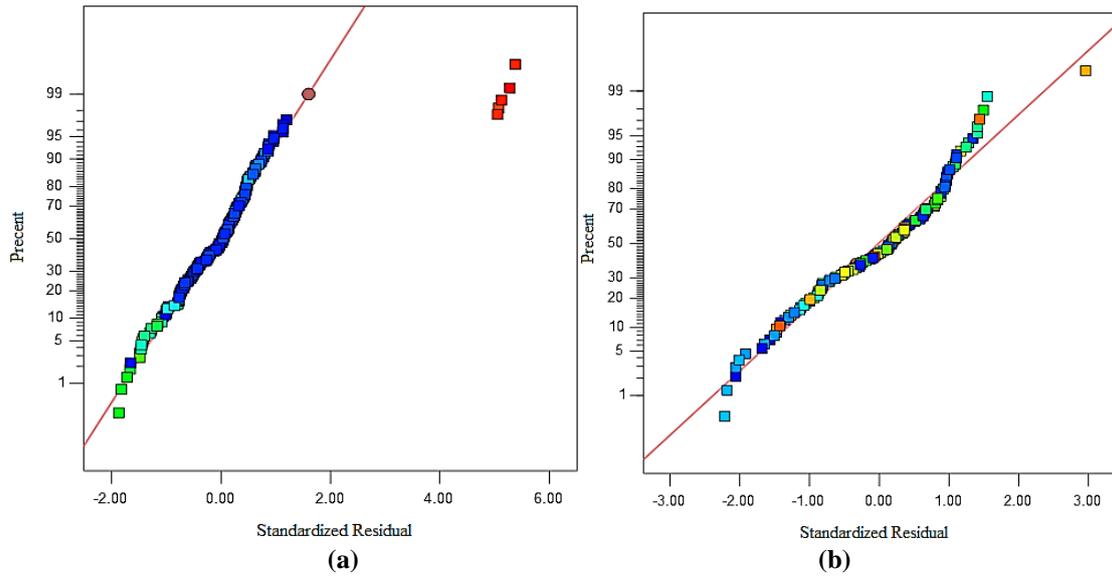


Fig. 10: Normal probability plot residuals. (a) Friction (b) Nusselt

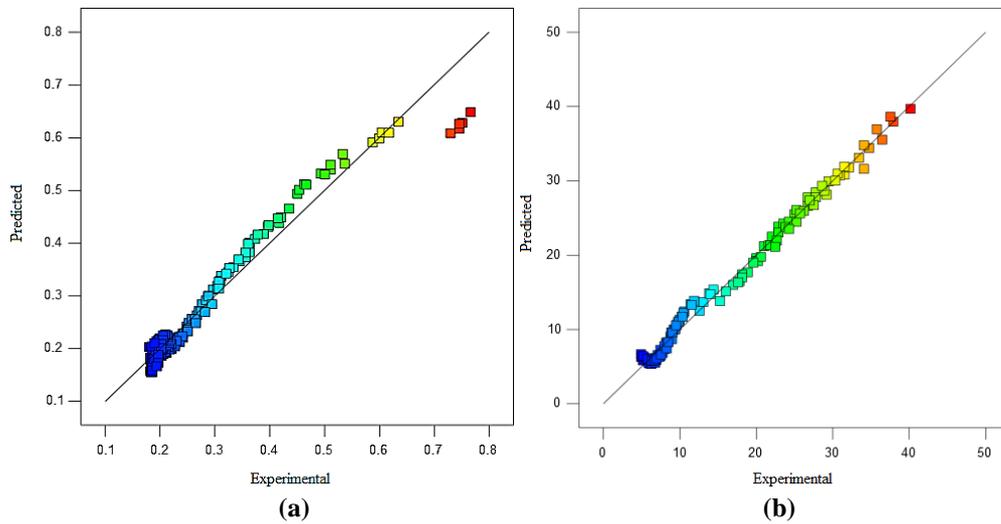


Fig. 11: Comparison of the experimental results and predicted values (a) Friction (b) Nusselt.

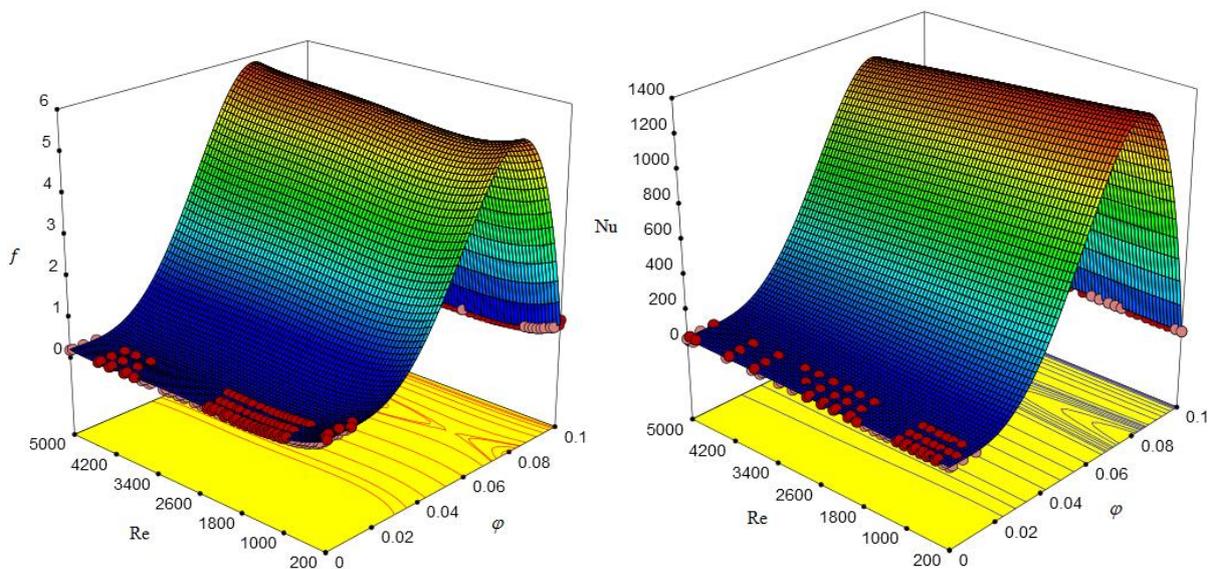


Fig. 12: Three-dimensional response surface graphs of Friction. Fig. 13: Three-dimensional response surface graphs of Nusselt.

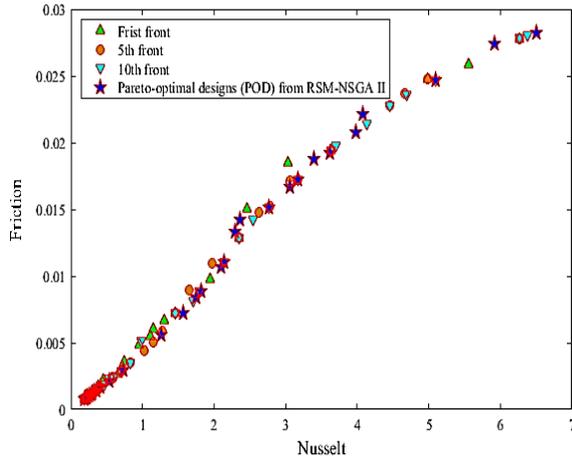


Fig. 14: Multi-objective optimization results by NSGA-II.

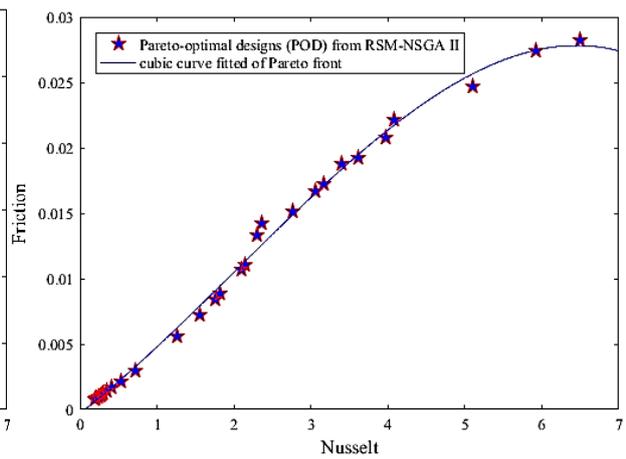


Fig. 15: Pareto optimal front.

Table 5 shows Analysis of variance (ANOVA) for Friction Tube 2 factor. Table 6 shows Analysis of variance (ANOVA) for Nusselt Tube 2. Figure 16 shows Normal probability plot residuals. (a) Friction (b) Nusselt. Figure 17 shows Comparison of the experimental results and predicted values (a) Friction (b) Nusselt. Figure 18 shows Three-dimensional response surface graphs of Friction. Figure 19 shows Three-dimensional response surface graphs of Nusselt. Figure 20 shows Multi-objective optimization results by NSGA-II. Figure 21 shows Pareto optimal front.

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Re³	0.23	1	0.23	308.81	6.67316E-041
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Re⁴	0.22	1	0.22	295.50	8.05856E-040
Residual error	0.13	180	7.411E-004		
Total	3.09	194			

Standard deviation = 0.027.

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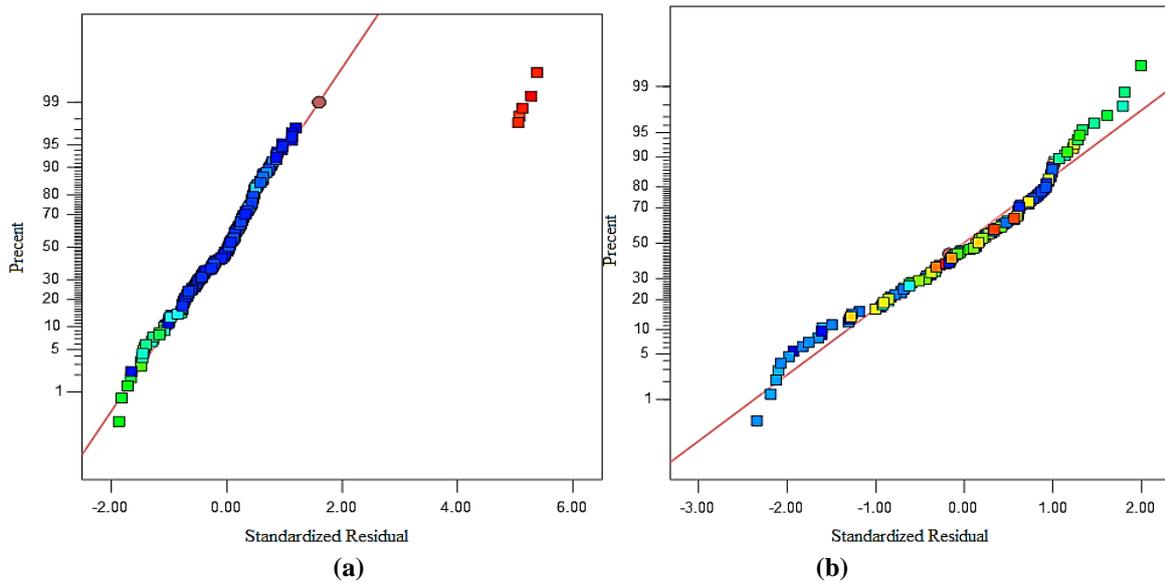
Table 6: Analysis of variance (ANOVA) for Nusselt Tube 2.

Source	Adj SS	Df	Adj MS	F	P
Model	11325.51	14	808.97	545.54	3.48118E-091
ϕ	5.43	1	5.43	3.66	0.0583
Re	5.71	1	5.71	3.85	0.0525
ϕ Re	16.37	1	16.37	11.04	0.0012
ϕ^2	8.16	1	8.16	5.50	0.0208
Re²	14.26	1	14.26	9.62	0.0025
ϕ^2 Re	11.83	1	11.83	7.97	0.0057
ϕ Re ²	0.035	1	0.035	0.024	0.8776
ϕ^3	5.42	1	5.42	3.66	0.0586
Re³	75.75	1	75.75	51.08	1.21994E-010
ϕ^2 Re ²	0.21	1	0.21	0.14	0.7079
ϕ^3 Re	17.12	1	17.12	11.54	0.0010
ϕ Re ³	1.01	1	1.01	0.68	0.4116
ϕ^4	5.92	1	5.92	4.00	0.0482
Re⁴	66.94	1	66.94	45.14	9.73105E-010
Residual error	155.70	105	1.48		
Total	11481.22	119			

Standard deviation = 1.22.

Predicted residual error of sum of squares (PRESS) = 197.14.

R2 (Adequate) = 78.479% R2 (Predicted) = 0.9828% R2 (Adjusted) = 0.9846%.

**Fig. 16:** Normal probability plot residuals. (a) Friction (b) Nusselt

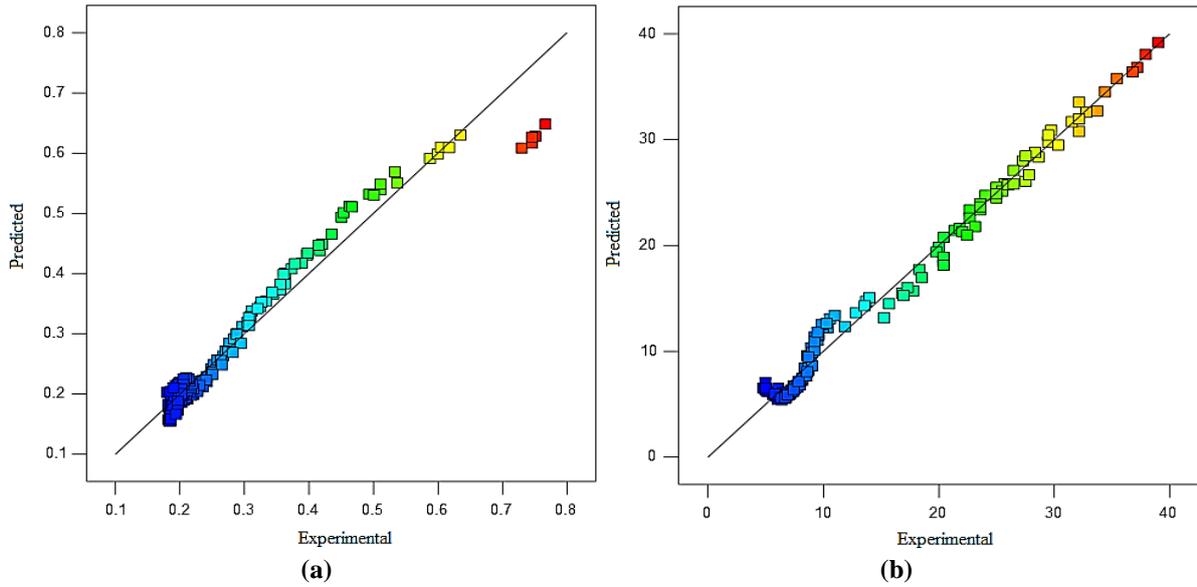


Fig. 17: Comparison of the experimental results and predicted values (a) Friction (b) Nusselt.

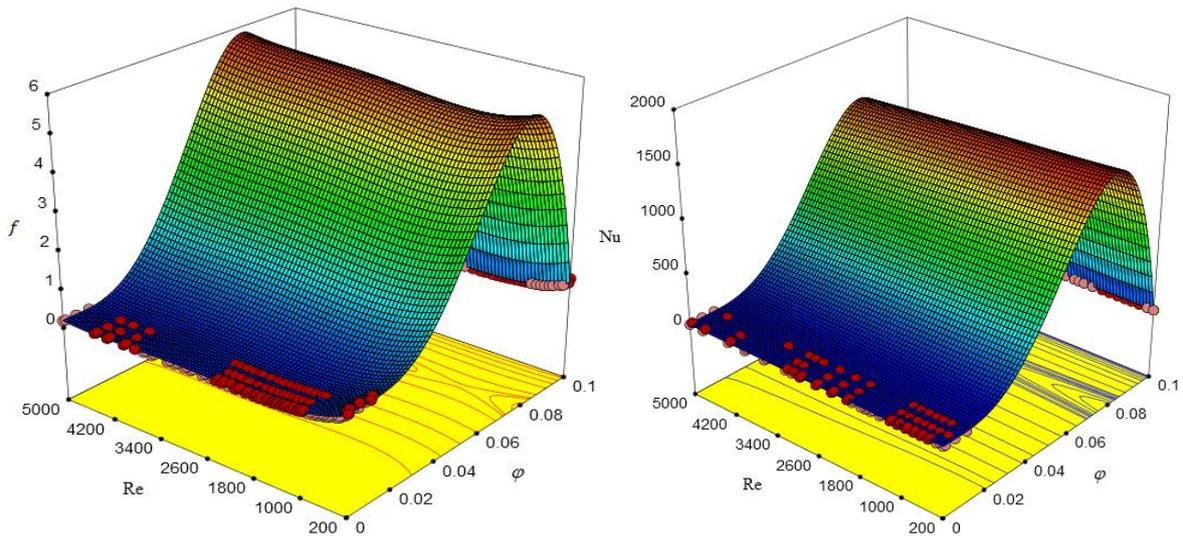


Fig. 18: Three-dimensional response surface graphs of Friction. Fig. 19: Three-dimensional response surface graphs of Nusselt.

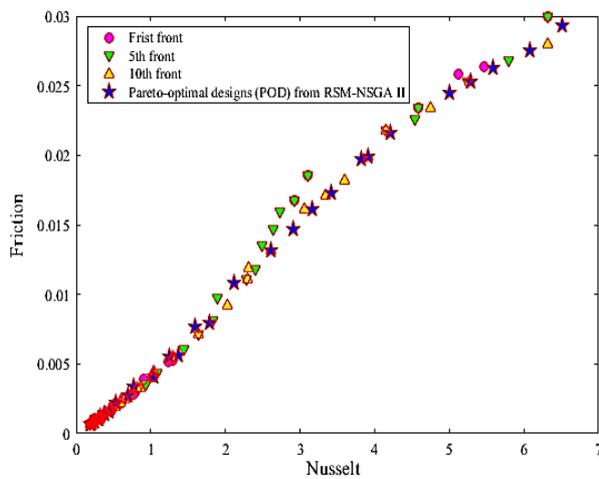


Fig. 20: Multi-objective optimization results by NSGA-II.

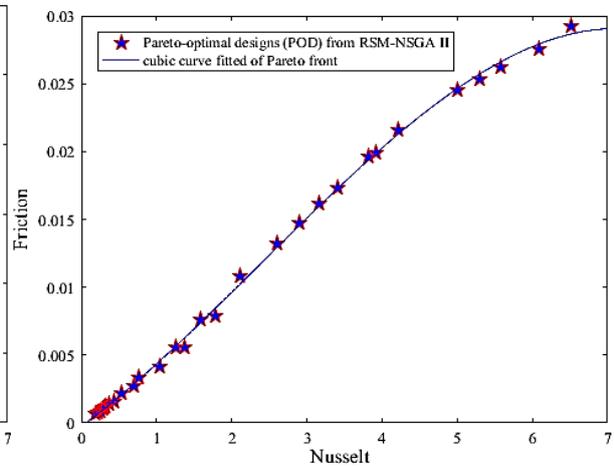


Fig. 21: Pareto optimal front.

9 Analysis of variance (ANOVA)

Variance analysis is a collection of statistical models that examine the mean in groups and dependent functions (such as variance in a group or between groups). In this method, the variance obtained from a random variable is divided into smaller components that are sources of variance, a reliable method for examining the quality of data adaptation to the use of variance analysis. In its simplest form, an ANOVA provides a statistical test that tests the equality of averages of different groups, and therefore, t Student (t - test) extends to more than two groups.

10 Results

In order to reach the optimal results of the proposed algorithm in several stages and with different values of the number of members of the population, 50 members and the number of iterations have been implemented and presented. In order to compare the optimization process, the results are presented in the first, fifth, tenth and Pareto front. In this paper, the Nusselt number is improved by increasing the volume concentration of nanoparticles as well as Reynolds number. This increase is due to effective thermal conductivity of nanofluid as well as strengthening of nanoparticles. Then, by using response surface method (RSM), its data have been extracted. With the help of the achieved curve, the optimum points are Nusselt and the friction coefficient is equal to it.

11 Conclusion

The purpose of this study is to optimize the friction coefficient and increase the friction number. This optimization was done by determining the objective functions and experimental data of friction coefficient and Nusselt number of fluid and applying the response surface methodology. In this study, the response surface methodology was determined using the input data of Reynolds number (200 to 5000) and volume fraction (0 to 0.1) to determine the friction coefficient and the Nusselt number. After the variables and objective functions defined in the NSGA II method, multi-objective optimization has been done. And the answers to the friction coefficient and the Nusselt number on the Pareto front were introduced. The results show that the Nusselt number is improved by increasing the volume concentration of nanoparticles as well as Reynolds number. This increase is due to effective thermal conductivity of nanofluid as well as strengthening of nanoparticles. Among the obtained results, the points which have the highest number of Nusselt and lowest of friction coefficient have been chosen as the best point.

12 Competing Interests

The authors declared that no conflict of interest exist in this publication.

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